

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6802958
<b>Application Number:</b>	09844198
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2147
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED METHOD AND APPARATUS FOR ADJUSTING THE COST BASIS OF A SECURITY
<b>First Named Inventor/Applicant Name:</b>	Nicco Willis
<b>Customer Number:</b>	39602
<b>Filer:</b>	Daniel J. Noblitt/Sally Hartway
<b>Filer Authorized By:</b>	Daniel J. Noblitt
<b>Attorney Docket Number:</b>	10060.0100
<b>Receipt Date:</b>	13-JAN-2010
<b>Filing Date:</b>	27-APR-2001
<b>Time Stamp:</b>	15:23:18
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 230
RAM confirmation Number	1662
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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		100600100_2010-01-13_petition_correct_assignee_and_CofC.pdf	495361 e90f4ea8c8ffca0f282ffd42403fee960b9c091a	yes	14
	<b>Multipart Description/PDF files in .zip description</b>				
	<b>Document Description</b>		<b>Start</b>	<b>End</b>	
	Petition for review by the Office of Petitions.		1	1	
	Oath or Declaration filed		2	3	
	Petition for review by the Office of Petitions.		4	12	
	Request for Certificate of Correction		13	14	

**Warnings:****Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	32249 429a67ba10dfecc5db3cc0fa5cc1f10e950c421	no	2
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**Warnings:****Information:**

<b>Total Files Size (in bytes):</b>	527610
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.